



PRECIOUS METALS SUPPLIERS' DUE DILIGENCE

NOTE: Please fill this form in English and in BLOCK LETTERS (all applicable information in this form is mandatory). This form must be filled by suppliers of precious metals.

Anti-Money Laundering (AML) & Combating Financial Terrorism (CFT)

Does your Company have a person responsible (Compliance Officer) for all AML-CFT matters such as Due Diligence, AML Policies, internal training? (Please answer Yes or No. If Yes, please provide the name, phone number and email address below)

Is your Company subject to an AML-CFT audit by an independent party or government agency? (Please answer Yes or No. If Yes, please provide the last AML-CFT compliance audit below)

Does your Company have an AML-CFT training for the employees? (Please answer Yes or No)

Has your Company established a conformity program that contains AML/CFT policies and procedures, according to internal & international laws, rules and standards? (Please answer Yes or No. If Yes, please provide a copy)

Origin of Physical Precious Metals

Profile of your precious metals suppliers (Individual/Company), type of suppliers (e.g. LBMA listed refinery etc)

Countries of origin of the precious metals to be delivered to the refiner

Number of Suppliers

Does your company export/import precious metals? (Please answer Yes or No. If Yes, please provide copy of licenses)

What are the types, forms and percentage of precious metals sourced by your Company? (Please answer Yes or No in any of the applicable choices)

_____ Recycled precious metals (80%)

_____ LBMA GD Bullion

_____ Dore

_____ Primary material – mined precious metals (___%)

_____ Others, please specify below

_____ Non-LBMA GD Bullion (Au=>995/Ag=>9999)

_____ Jewellery

Anti-Bribery Policy

Does your Company have an anti-bribery policy in place? (Please answer Yes or No. If Yes, please provide a copy)

If there is no formal policy document please describe your Company's approach to anti-bribery matters?

Responsible Precious Metal Supply Chain Policy

Did your Company establish a responsible supply chain of gold from conflict-affected and high-risk areas policy which is consistent with the relevant OECD Due Diligence Guidance? (Please answer Yes or No. If Yes, please provide a copy)

Company is registered and licensed as a free zone company under the rules and regulations of DMCCA



TWENTY FOUR GOLD DMCC

If there is no formal policy, please describe how your Company complies or plans to comply with the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas?

Does your Company comply with any of the following industry initiative? (Please answer Yes or No)

- LBMA Responsible Gold Guidance
- RJC Chain of Custody Standard
- WGC Conflict Free Gold Standard
- Conflict Free Smelter (CFS) Program
- Others, please specify below:

Precious Metals Supplier Due Diligence

What type of information does your Company request from its precious metals supplier (Please check those apply)

COMPANIES	INDIVIDUAL
<input type="checkbox"/> Company Name	<input type="checkbox"/> Full Name
<input type="checkbox"/> Address	<input type="checkbox"/> Address
<input type="checkbox"/> Date of Incorporation	<input type="checkbox"/> Date of Birth
<input type="checkbox"/> Country of Incorporation	<input type="checkbox"/> Nationality
<input type="checkbox"/> Business Register/Trade License	<input type="checkbox"/> Passport Copy/National ID
<input type="checkbox"/> Beneficial Owners	<input type="checkbox"/> Origin of Precious Metals
<input type="checkbox"/> Origin of Precious Metals	<input type="checkbox"/> Supplier Profile
<input type="checkbox"/> Description of Main Activity and Financial Info	

Monitoring (Please answer Yes or No)

Does your Company screen precious metals suppliers and transactions against lists of banned persons, entities or countries issued by government/competent authorities? _____

Does your Company perform enhanced due diligence for high-risk precious metals suppliers? _____

Does your Company take steps to understand their corporate precious metals suppliers' AML-CFT and purchase procedures and practices? _____

Does your Company take steps to understand the normal and expected transactions of its suppliers (in order to identify the unusual transactions)? _____

Does your Company have a procedure in place to prevent, detect and report suspicious transactions from its suppliers to a relevant Authority? _____

Is your Company required to register all purchases and sales? (If yes please provide which body it is registered) _____

Are your Company's due to diligence practices subject to an audit? (If yes please specify if it is internal or external) _____

DECLARATIONS:

Source of Funds:

I/We understand that I/we am/are required to declare the source of funds that I/we will be using for the purpose as stated in this application as a part of Twenty Four Gold DMCC's requirement to open an account. I/We understand the requirements of the Federal Decree-Law No. (20) of 2018 On Anti-Money Laundering and Combatting the Financing of Terrorism and Financing of Illegal Organisations and the Cabinet Decision No. (10) of 2019 Concerning the Implementing Regulation of Decree Law no. (20) of 2018 on Anti-Money Laundering and Combating the Financing of Terrorism and Illegal Organisations and do hereby undertake that the source of funds/metals are acquired from legitimate sources and evidences of such is available if needed or as requested. I/We do hereby undertake that the funds/metals do not originate from any sanctioned country/entity/person/s from the United Nations and other relevant sanction programs.

Responsible Sourcing of Precious Metals:

I/We hereby acknowledge that we received from Twenty Four Gold DMCC its Supply Chain Policy and the guidance listed below and we undertake to review it thoroughly and to comply with its provisions: (i) OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High Risk Areas – Supplement in Gold; (ii) DMCC Rules for Risk Based Due Diligence in the Gold and Precious Metal Supply Chain; (iii) LBMA Responsible Gold Guidance; (iv) RMI Gold Refiner Standard.

I/We confirm that we are observing and complying with domestic and international laws, rules and regulations, including those governing the illicit trade in precious metals and the United Nation Security Council (UNSC) Sanctions. Also, we hereby undertake that our sources of precious metals are free from conflict financing, criminal funding, worst forms of child labour and human rights abuses.

I/We understand that by working with Twenty Four Gold DMCC, we may be requested to provide supply chain information and documentation for due diligence purposes and failure to cooperate may cause Twenty Four Gold DMCC to refuse a shipment or supplier as required by the above-mentioned guidance.

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24G/SDD/2021/0001



TWENTY FOUR GOLD DMCC

Terms of Business

The undersigned hereby attest(s) and agree(s) that the above application is for the purpose of opening an account with Twenty Four Gold DMCC (hereinafter referred to as "24Gold") and that such an account will be governed by the terms and conditions set forth within 24Gold's Precious Metals Trading Agreement.

The undersigned further certifies that he/she has received a copy of 24Gold's Precious Metals Trading Agreement, Risk Disclosure Statement and Terms and Conditions and has read, understands and agrees that all terms and conditions contained therein shall govern his/her business relationship with 24Gold. The undersigned agrees and understands that 24Gold retains the right to amend any and all agreements at any time by means of proper notification.

The undersigned hereby attest(s) and agree(s) that the above information is complete and accurate and certifies that the signature is genuine and that any photocopies, including copies of the verification of identity documents supplied are unaltered, exact copies of the corresponding originals. The undersigned hereby authorized 24Gold to verify any or all of the foregoing information through any means deemed proper by 24Gold.

Further I/we hereby undertake to promptly inform 24Gold in writing of any changes in the information provided herein and agree that 24Gold is neither responsible nor liable for any losses or activity performed on the basis of the information provided. I/we also agree to provide any additional information or documentation that may be required from time to time by 24Gold or its authorized agents or representatives.

Authorized Signatory Name:	
Designation / Position:	Company Stamp:
Signature:	
Date:	

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