

Matthew G. Nielsen



“Stanton LLP is known for high ethical standards and doing the right thing even when no one is watching ... that’s why we trust Stanton LLP to uphold our corporate values and represent us at the courthouse, and in the court of public opinion.”

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Companies, board members, and executives rely on Matthew Nielsen’s expertise when their reputations and futures are on the line. Matthew focuses his practice on government investigations and white-collar defense, representing corporations, their executives, directors, employees, and securities industry professionals in civil and criminal investigations and proceedings. Matthew regularly practices before the SEC, DOJ, FINRA, CFTC, state securities boards, state attorneys general, and other regulatory and law-enforcement agencies. He has been recognized nationally and by his peers for his skill in handling securities-related investigations and litigation.

Matthew has extensive experience assisting both domestic and international clients with federal and state securities laws, U.S. export control and sanctions laws, embezzlement and self-dealing allegations, whistleblower complaints, accounting fraud, healthcare fraud, and foreign corruption.

Matthew regularly advises his clients on corporate compliance issues and programs, including reviewing and testing policies and procedures, and leads clients through internal investigations in a proactive effort to get ahead of problems and mitigate them before they escalate to litigation or regulatory matters.

Prior to joining Stanton LLP, Matthew was the firm-wide head of the White Collar and Government Investigations practice group of Andrews Kurth Kenyon LLP, during which it received recognition in Chambers & Partners USA as a leading practice in Texas.

Education

JD, magna cum laude, Baylor Law School

- Baylor Law Review, Assistant Managing Editor, Executive Editor, and Notes and Comments Editor
- Order of the Barristers

BBA, cum laude, Texas Christian University

Admissions

Texas
US District Courts for the Northern, Eastern & Western Districts of Texas
US District Courts for the Eastern District of Wisconsin
US Court of Appeals for the Fifth Circuit
US Supreme Court

Away from work, Matthew can be found at home spending time with his wife, Kim, and his three children. He and his family are members of First United Methodist Church of Rockwall. Matthew is also active in local government and serves as Chairman of the Board of the Rockwall Economic Development Corporation.

News

"Keys to a Whistleblower Program" *Texas Lawyer* (July 13, 2015)

"A New World in SEC Compliance and the Fundamentals to Face the Challenges," *Inside the Minds: SEC Compliance Best Practices—Leading Lawyers on Understanding New Regulations and Developing Compliance Strategies* (2013)

"What to Expect in SEC Enforcement Actions" *Financial Fraud Law Report* (May 2012) U.S. Supreme Court to Review Fifth Circuit's Controversial Loss Causation Rules for Securities Class Actions *Compliance Week* (March 3, 2011)

"The Dodd-Frank Earthquake" *Texas Lawyer* (December 20, 2010)

"Protecting Internal Investigations from Disclosure Requires Planning" *Executive Legal Advisor* (January 2009)

"Preserving Privilege When Undertaking Internal Investigations" *Texas Lawyer* (November 24, 2008)

"The Civil, Regulatory and Criminal Responses to the Subprime Mortgage Meltdown" *Andrews Securities Litigation & Regulation Reporter* (November 18, 2008)

"The Civil, Regulatory and Criminal Responses to the Subprime Mortgage Meltdown" *Andrews Financial Crisis Litigation Reporter, Thomson Reuters/West* (October 23, 2008)

"SEC Signals No Patience For Fake News On Stocks," *Law360* (April 11, 2017)

"Judge Tosses Civil Fraud Charges Against Attorney General Ken Paxton," *The Dallas Morning News* and the *Chicago Tribune* (March 2, 2017)

"Texas AG's Win Over SEC Raises Doubts About Criminal Case," *Law360* (March 8, 2017)

"Texas AG's Win In SEC Battle Won't Shut Down Fraud Charges," *Law360* (October 12, 2016)

"How GCs Can Help Mitigate Fraud and Corruption," *Texas Lawyer* (July 1, 2016)

"Shop Talk: Conducting Internal Investigations," *Compliance Week* (October 11, 2011)

Recognition

Profiled as one of the leading Securities Shareholder Litigation (2015) and Securities Litigation – Defense (2016) lawyers in the United States, *The US Legal 500*

Texas Super Lawyer, *Texas Monthly* (2017)

Texas Rising Star, *Texas Monthly* (2006-2009, 2011-2016)

Recognized among the "Best Lawyers" in Dallas in Securities Litigation & Enforcement, *D Magazine* (2016-2017)

Presentations

3rd Annual Government Enforcement Institute (September 29, 2016)

Legal and Compliance Programs: Ethics and Effectiveness LIVE (March 1, 2013)

"Conducting Internal Investigations Under New Rules," Compliance Week Roundtable, Houston, Texas (September 20, 2011)

"Internal Investigations Post Sarbanes-Oxley" to Houston Corporate Paralegal Association (September 9, 2009)

"Best Practices for the Transactional Lawyer: Legal Privilege and Confidentiality" with Paul Mitchell to Association of Corporate Counsel, Houston Chapter (August 12, 2008)

Panelist, "Accounting for Lawyers: Part 3, Attorney-Accountant Relations after Sarbanes-Oxley," CLE Options Network (live webcast on July 18, 2008)

"Best Practices for the Transactional Lawyer: Legal Privilege and Confidentiality" with Wiley George at El Paso Energy (July 16, 2008)

"Legal Privilege and Confidentiality: The Fundamentals and Practical Application for Accountants" presented to Dallas office of Deloitte Financial Advisory Services LLP (July 8, 2008)

"Best Practices for Transaction Lawyers to Preserve Legal Privilege and Confidentiality: Avoiding Missteps" with J. Wiley George and Kara Altenbaumer-Price, at the Andrews Kurth 2008 Corporate/Securities & Tax Retreat (May 17, 2008)

"Foreign Corrupt Practices in 2007 - Assessing the Real Risk" with David Washburn, Tatum Directors Roundtable, offices of Tatum, LLC (March 25, 2008)

"What to Do When the Government Comes Knocking," (September 20, 2007)

Affiliations

Dallas Association of Young Lawyers

Dallas Bar Association

DAYL Foundation, Fellow

Dallas Volunteer Attorney Program

Rockwall EDC, Board of Directors