

# Steven Nicholas

AUDITOR

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Certified **Information Systems Auditor** (CISA) with more than 14 years of experience in IT auditing with established max life insurer & asset manager, external auditing firm and US multi-national manufacturing company. Professional experiences include senior stakeholder management, IT auditing and advisory services, project assurance and system security implementation.

## SKILLS

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- Stakeholder & relationship management
- Audit risk assessments, planning & formalisation
- Team management & building
- Information system risk management
- Application process & controls review
- Audit Planning
- Ensuring consistency of audit methodology
- Excellent communication skills
- Financial Analysis
- Internal and external auditing

## EXPERIENCE

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JAN 2014 - PRESENT

### IT Audit Director

#### Max Life Insurance & Asset Management, New York

- Manage stakeholders in various entities through regular communications.
- Improving the existing internal audit programs relating to project assurance and IT audit
- Coordinated with stakeholder and performed audit on departments.
- Prepare audit reports containing executive summary, observations and recommendations.
- Lead in annual planning and formalization of IT audits
- Conduct project assurance reviews at business entities and internal trainings.

APR 2010 - DEC 2013

### Senior Audit Manager

#### A&T Telecommunications, Florida, US

- Manage key stakeholders in joint venture companies.
- Lead the audit team to perform IT and operations audits with the group of companies.
- Report audit plans, status, and key issues to the Audit Committee.
- Review and appraise the soundness, adequacy, and application of operational controls.
- Manage the company's whistleblowing channel including review, investigate and report any potential fraud or inappropriate misconducts.

APR 2007 - MAR 2010

### Senior Auditor & Information Systems (Chicago)

#### United Health Group, California, US

- Develop audit programs to evaluate the adequacy and effectiveness of internal controls for compliance with Sarbanes-Oxley requirements.
- Plan, lead, and execute IT audits over systems and applications to comply with company policies and statutory regulations.
- Identify and evaluate business application controls to ensure that data is processed completely and accurately.
- Perform SAP and legacy system reviews, technical security reviews of network and infrastructure security.
- Prepare and report audit findings and recommendations.

## EDUCATION

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FEB 2002 - AUG 2004      **Master of Business Administration (Finance)**  
University of Chicago

MAR 2000 - FEB 2003      **Bachelor of Commerce**  
Adrian, Michigan, US

## CERTIFICATION

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**Certified Information Systems Security Professional (CISSP)**  
ISC2

**Certified Information Security Auditor (CISA)**  
ISACA

**Cisco Certified Network Associate (CCNA)**  
CISCO

## LANGUAGES

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English      ● ● ● ● ●

Chinese      ● ● ● ● ●

French      ● ● ● ● ●

German      ● ● ● ● ●